

# Mutual tests among agents in distributed intrusion detection systems using immunity-based diagnosis

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## Abstract

Distributed intrusion detection systems have some advantages over centralized systems, such as scalability, resist subversion, and graceful degradation. With respect to resist subversion, however, self-monitoring is a difficult problem. One possibility is that each intrusion detection system is checked periodically by others.

In this paper, we propose mutual tests between intrusion detection system and mobile agent using immunity-based diagnosis. Some simulation results show that the credibility of normal intrusion detection system remains stable near 1, otherwise decreases to 0, and then corrupted ones are identified. Furthermore, we make sure that the diagnostic capability depends on some parameters.

**Keywords:** Intrusion detection system, Immunity-based diagnosis, Mobile agent, Self-monitoring

## 1 Introduction

The goal of intrusion detection is to identify, preferably in real time, unauthorized use, misuse, and abuse of computer systems by both system insiders and external penetrators [1]. In the last few years, the need of intrusion detection system clearly increases with the growing number of network services, and then a large number of intrusion detection systems have been proposed (e.g., [2])

In order to design and build intrusion detection system, some researchers have drawn inspiration from the biological immune system. Forrest et al. have incorporated many properties of natural immune systems (distributed computation, error tolerance, adaptation and so on) into intrusion detection system [3, 4]. Spafford et al. also have developed the distributed intrusion detection system using autonomous agents regarded as immune cells [5, 6]. Mobile agents have been employed in intrusion detection system just as immune cells can circulate through the body [7, 8].

The intrusion detection systems inspired by the immune system are categorized into distributed system. Distributed intrusion detection systems have some advantages over centralized systems, such as *scalability*, *resist subversion*, and *graceful degradation* [6]. With respect to *resist subversion*, however, *self-monitoring* is a difficult problem. In other words, corrupted intrusion detection system cannot identify illegitimate use correctly; therefore it is necessary to discern which ones can be faulty. Although Spafford et al. suggested one possibility with each monitoring agent being checked periodically by several others, they achieved no detailed examination [6].

In this paper, we propose mutual tests using an *immunity-based diagnosis* in distributed intrusion detection system. The original immunity-based diagnostic model has been proposed by Ishida who is one of authors [9, 10]. The immune system can be considered as fully distributed diagnosis, where a large number of immune cells detect and eliminate non-self by stimulating and suppressing other cells. The diagnosis is performed by mutual tests among units and dynamic propagation of active state.

Furthermore, mobile agents contribute to the mutual tests in the diagnosis. In conventional approaches [7, 8], mobile agents directly monitor host computers, while mobile agents in our method observe intrusion detection systems. Our mobile agent acts as an additional module for existing intrusion detection systems.

To verify the feasibility of our diagnosis, we carry out some simulations. The result shows that the credibility of normal intrusion detection system remains stable near 1, otherwise decreases to 0, and then corrupted ones are determined. In addition, we execute the diagnosis changing two parameters: number of mobile agents and number of intrusion detection system's rules. We confirm what effects these parameters have on the diagnostic capability.

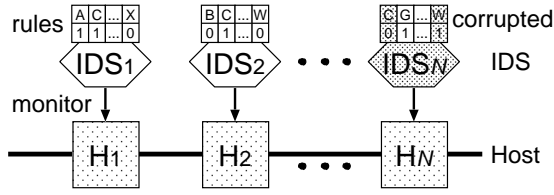


Figure 1: Simulated distributed intrusion detection system.

## 2 Distributed intrusion detection system with mobile agents

### 2.1 Simulated intrusion detection system

For easy performance analyses, the immunity-based diagnosis is applied to a simulated distributed intrusion detection system. Figure 1 illustrates the simulated system, where  $N$  intrusion detection systems can monitor the corresponding host computer using some rules. Real intrusion detection systems as show in Fig. 2 possess a lot of complicated rules, which are collected in some files according to service (for example, *dns.rules* file corresponding to DNS service). The rules are simplified by a pair of label (A, B, C, ...) and data (0 or 1). The total number of rules is defined by  $L$ , and each intrusion detection system has  $L_h (\leq L)$  rules on average because each host provides different service. If  $L_h = L$ , then distributed intrusion detection system become homogeneous, otherwise heterogeneous.

We suppose that corrupted intrusion detection system includes some wrong rules represented by inversion of data (0 or 1). In Fig.1, although C:1 and W:0 are correct pairs, the corrupted intrusion detection system  $IDS_N$  has two inverted rules. Each simulation starts with the condition where there are  $N_f (\leq N)$  corrupted intrusion detection systems.

### 2.2 Mobile agent

Each mobile agent with a piece of rules can migrate from host to host in order to check intrusion detection system mutually as depicted in Fig.3. The average number of agent's rules is represented by  $L_a (\leq L)$ . We assume that there are checks among agents on the same host (e.g.,  $Ma_1$  and  $Ma_2$ ), while there is no test among hosts (e.g.,  $IDS_1$  and  $IDS_2$ ) because mobile agent exists on behalf of communication between hosts. We will explain a concrete test outcome in 3.2.

At the beginning of simulation, each intrusion detection system creates some mobile agents by duplicating a part of the rules. As a result, normal intrusion detection system bears fault-free mobile agents, while corrupted intrusion detection system has faulty mobile

```
[root@wata root]# ls /etc/snort/
RCS
attack-responses.rules
backdoor.rules
bad-traffic.rules
chat.rules
classification.config
ddos.rules
deleted.rules
dns.rules
dos.rules
experimental.rules
exploit.rules
finger.rules
ftp.rules
icmp-info.rules
icmp.rules
imap.rules
info.rules
local.rules
misc.rules
multimedia.rules
mysql.rules
netbios.rules
nntp.rules
oracle.rules
other-ids.rules
p2p.rules
policy.rules
pop3.rules
porn.rules
reference.config
rpc.rules
rservices.rules
scan.rules
shellcode.rules
smtp.rules
snmp.rules
snort.conf
snort_tutkie.conf
sql.rules
telnet.rules
tftp.rules
virus.rules
web-attacks.rules
web-cgi.rules
web-client.rules
web-coldfusion.rules
web-frontpage.rules
web-iis.rules
web-misc.rules
web-php.rules
xll.rules

[root@wata root]# head /etc/snort/web-cgi.rules
# (C) Copyright 2001,2002, Martin Roesch, Brian Caswell, et al.
# All rights reserved.
# $Id: web-cgi.rules,v 1.56 2002/08/18 20:28:43 cazz Exp $
#-----
# WEB-CGI RULES
#-----
#
alert tcp $EXTERNAL_NET any -> $HTTP_SERVERS $HTTP_PORTS (msg:"WEB-CGI
HyperSeek hsx.cgi directory traversal attempt"; uricontent:"/hsx.cgi";
content:"../../*"; content:"%00"; flow:to_server,established;
reference:bugtraq,2314; reference:cve,CAN-2001-0253;
alert tcp $EXTERNAL_NET any -> $HTTP_SERVERS $HTTP_PORTS (msg:"WEB-CGI
HyperSeek hsx.cgi access"; uricontent:"/hsx.cgi"; flow:to_server,
established; reference:bugtraq,2314; reference:cve,CAN-2001-0253;
classtype:web-application-activity; sid:1607; rev:3;)
[root@wata root]#
```

Figure 2: Example of snort rules [2].

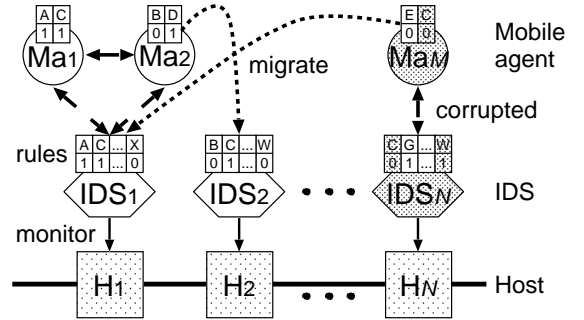


Figure 3: Mutual tests using mobile agents.

agents with some inverted rules (for example,  $Ma_M$  in Fig.3). Note that false mobile agents misdiagnose normal intrusion detection system.

## 3 Immunity-based diagnosis

### 3.1 Distributed diagnosis model

The distributed diagnosis models inspired by the biological immune system have been proposed by Ishida [9, 10]. The distributed diagnosis is performed by mutual tests among units and dynamic propagation of active states. In the model, each unit has the capability of testing other units, and being tested by the others as well. A state variable  $R_i$  indicating the *credibility of unit* is assigned to each unit and calculated as follows:

$$\frac{dr_i(t)}{dt} = \sum_j T_{ji} R_j + \sum_j T_{ij} R_j - \frac{1}{2} \sum_{j \in \{k: T_{ik} \neq 0\}} (T_{ij} + 1), \quad (1)$$

$$R_i(t) = \frac{1}{1 + \exp(-r_i(t))}, \quad (2)$$

where the credibility  $R_i \in [0, 1]$  is a normalization of  $r_i \in (-\infty, \infty)$  using a sigmoid function. In equation (1),  $T_{ij}$  denotes binary test outcome from unit  $i$  to  $j$  as defined in 3.2.

The diagnosis represented by the differential equation (1) has two characteristics. First, the credibility of tested unit  $i$  is updated by the sum of *the test value weighted* by the credibility of testing unit  $j$ . The weighted test value leads to neglect the test outcome of false unit with low credibility. Secondly, the credibility of unit  $i$  is evaluated not only from the opinions of other testing units, but also from the opinions of what the unit said to the other units. The former corresponds to the first term of the right-hand side of equation (1), and the latter to the second and third term. We call the latter *reflection effect*. The reflection effect is somewhat similar to the situation that if you criticize a highly respected person, it affects your own credit.

### 3.2 Test outcome

We explain how units, namely, both IDS and mobile agent, can produce their test outputs. The test outcome is assigned to -1, 0 or 1 according as whether or not rules are the same:

$$T_{ji} = \begin{cases} 1 & \text{if all rules match} \\ -1 & \text{if one or more mismatches exist} \\ 0 & \text{if all rules are not comparable} \\ -1/0/1 & \text{if unit } j \text{ is abnormal} \end{cases}. \quad (3)$$

For example, in Fig. 3, the test outcome between  $Ma_1$  and  $IDS_1$  becomes 1 with the agreement of both rules A and C, the output between  $Ma_2$  and  $IDS_1$  is 0 because  $Ma_2$  rules are not comparable with  $IDS_1$  ones, and corrupted  $Ma_M$  and  $IDS_N$  replay unstably.

## 4 Simulation

### 4.1 False positive and false negative

The feasibility of the immunity-based diagnosis is verified by some simulations. In each simulation step, we record not only the credibility of intrusion detection system but also two evaluation indexes, that is, *false positive rate*  $\alpha$  and *false negative rate*  $\beta$  as follows:

$$\alpha = \frac{N_t^{low}}{N - N_f}, \quad (4)$$

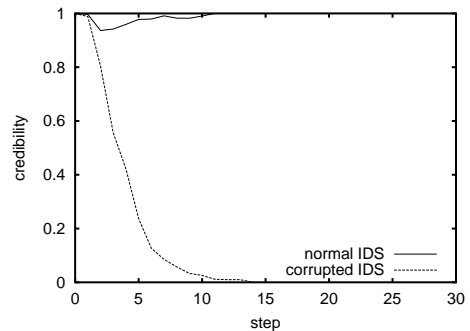


Figure 4: Transition of the average credibility  $R_i$  for normal and corrupted IDS over 50 trials.

Table 1: Parameters list.

	Description of variable
$R_i(0)$	Initial value of credibility
$r_i(0)$	Initial value of intermediate variable
$N$	Number of IDSs (hosts)
$N_f$	Number of corrupted IDSs
$M$	Number of mobile agents
$L$	Total number of rules
$L_h$	Average number of IDS's rules
$L_a$	Average number of agent's rules

$$\beta = \frac{N_f^{high}}{N_f}, \quad (5)$$

where  $N - N_f$  denotes the number of normal intrusion detection systems, and  $N_f$  the number of corrupted ones.  $N_t^{low}$  is the number of normal intrusion detection systems with the credibility of not more than 0.8 ( $R_i \leq 0.8$ ), while  $N_f^{high}$  is the number of corrupted intrusion detection systems with the credibility of not less than 0.2 ( $R_i \geq 0.2$ ). The false positive means that the diagnosis regards normal as abnormal, while the false negative results from identifying abnormal as normal.

### 4.2 Results

Figure 4 illustrates transition of the average credibility for normal and corrupted intrusion detection system over 50 trials. In this simulation, the parameters listed in Table 1 are fixed:  $R_i(0) = 1.0$ ,  $r_i(0) = 1.0$ ,  $N = 50$ ,  $N_f = 50$ ,  $M = 300$ ,  $L = 5000$ ,  $L_h = 500$  and  $L_a = 50$ . The result shows that the credibility of normal intrusion detection system remains stable near 1, otherwise decreases to 0, and then corrupted one is determined.

Other simulations are carried out with changes of two parameters, that is, the number of mobile agents

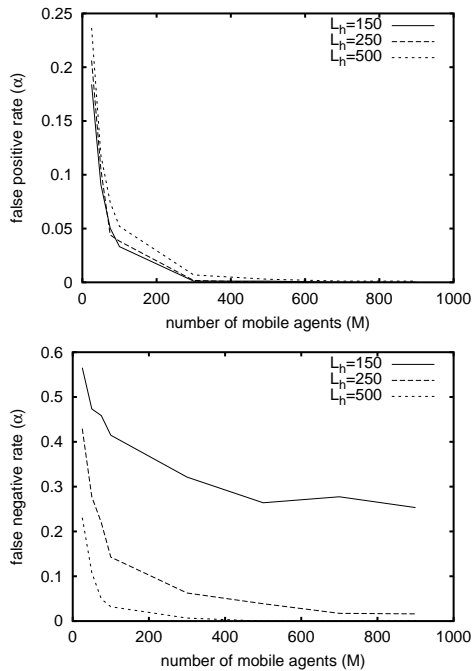


Figure 5: Average false positive/negative rate ( $\alpha$  and  $\beta$ ) vs. number of mobile agents ( $M$ ).

( $M$ ) and the average number of intrusion detection system's rules ( $L_h$ ). Figure 5 presents average false positive rate and false negative rate after 30 steps over 50 trials, changing  $M$  and  $L_h$ . From these results, by the more mobile agents an intrusion detection system is mutually tested, the more precisely its credibility can be calculated. In terms of  $L_h$ , the more common rules all intrusion detection systems have, namely, the more homogeneous all intrusion detection systems become, the more easily corrupted ones will be detectable.

These results also demonstrate that the false negative rate  $\beta$  tends to be inferior to the false positive rate  $\alpha$ . The reason is probably that some corrupted agent that a corrupted intrusion detection system produces as alter ego at the beginning of simulation can increase the credibility of the parental corrupted intrusion detection system. We conclude that these parameters have important effects on the diagnostic capability.

## 5 Conclusions and further work

In this paper, we proposed mutual tests between intrusion detection system and mobile agent using the immunity-based diagnosis. The result shows that the credibility of normal intrusion detection system remains stable near 1, otherwise decreases to 0, and then corrupted ones are identified. Furthermore, we con-

firm that the diagnostic capability depends on both the number of mobile agents and the number of intrusion detection system's rules.

In further work, we will examine the diagnostic capability in more detail and incorporate the immunity-based diagnosis to a real distributed intrusion detection system.

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